

S/N 09/896,835

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: James A. Worsham                      Docket: 60027.0011US01  
Serial No.: 09/896,835  
Filed: June 29, 2001  
Title: Audio Calling Name and Number Delivery  
Due Date: October 23, 2001

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CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited via First Class Mail in an envelope addressed to: U.S. Patent and Trademark Office, Washington, D.C. 20231, on September 14, 2001

By: *M. Todd Mitchem*  
M. Todd Mitchem- Reg. No. 40,731

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**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION**

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Assistant Commissioner of Patents  
Washington, D.C. 20231

Dear Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed August 23, 2001 Applicant encloses herewith:

1. an executed Declaration and Power of Attorney;
2. an executed Assignment and Assignment Recordal Sheet;
3. Nonpublication Request Under 37 C.F.R. §1.213(A)
4. Two checks; one in the amount of \$840 to cover the governmental filing fee and surcharge, and one in the amount of \$40 to cover the Assignment Recordal Fee; and
5. a duplicate copy of the Notice to File Missing Parts of Nonprovisional Application.

The filing fee is calculated as follows:

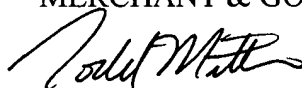
Number of Claims Filed		In Excess of:		Number Extra		Rate		Fee
<b>Basic Filing Fee</b>								\$710.00
<b>Total Claims</b>								
18	-	20	=	0	x	18.00	=	\$0.00
<b>Independent Claims</b>								
2	-	3	=	0	x	80.00	=	\$0.00
MULTIPLE DEPENDENT CLAIM FEE								\$0.00
SURCHARGE								\$130.00
<b>TOTAL FILING FEE</b>								<b>\$840.00</b>

Applicant believes that the submission of these papers complete the filing of all necessary papers and respectfully requests that the referenced application be passed along for examination.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD

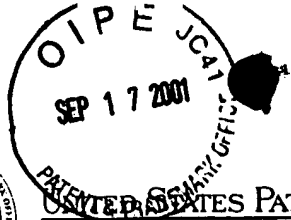


M. Todd Mitchem

Reg. No. 40,731

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/896,835	06/29/2001	James A. Worsham	60027.0011US01

CONFIRMATION NO. 5869

23552  
MERCHANT & GOULD PC  
P.O. BOX 2903  
MINNEAPOLIS, MN 55402-0903

## FORMALITIES LETTER



\*OC000000006464451\*

Date Mailed: 08/23/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

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*A copy of this notice MUST be returned with the reply.*

*CL*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/18/2001 SSESHE1 00000005 09896835

01 FC:101 710.00 OP  
02 FC:105 130.00 OP



Section #31  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: James A. Worsham, et al.

Serial No.: 09/896,835

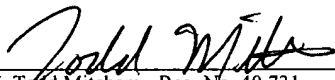
Filed: September 14, 2001

Docket: 60027.0011US01/BS01032

Title: Audio Calling Name and Number Delivery

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited via First Class Mail in an envelope addressed to: U.S. Patent and Trademark Office, Washington, D.C. 20231, on September 14, 2001.

By:

  
M. Todd Mitchem - Reg. No. 40,731

NONPUBLICATION REQUEST UNDER 37 C.F.R. §1.213(a)

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

The patent application identified above is not to be published under 35 U.S.C 122(b).

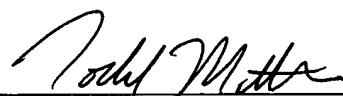
The undersigned hereby certifies that the invention disclosed in the patent application identified above has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

Respectfully submitted,

MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, MN 55402-0903  
612.332.5300

Date: September 14, 2001



  
Name: M. Todd Mitchem  
Reg. No.: 40,731